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		B. Document captures the additional information about the constituent relevant to Trading / Demat account and an instruction/check list.	
2.	Tariff sheet	Document detailing the rate/amount of brokerage & other charges levied on the client for trading on stock exchange(s) & DP Service charges/Fee Structure.	12 to 13
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6.	Risk Disclosure Document (RDD)	Document detailing risks associated with dealing in the securities market.	
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IMPORTANT NOTE

1. Signature Types  Signature of the Client / First Holder  Signature of the Witness  Signature of the Broker
 Signature of the Sub-Broker  Signature of Second Holder  Signature of Third Holder

2. In case of any correction in the form-Sign next to the correction done & Sign has to match the original signature.



**NIDHI BROKING
SERVICES PVT. LTD.**
Member BSE | CDSL | BSE Star MF

Member: Bombay Stock Exchange Ltd. / National Stock Exchange of India Ltd. / CDSL - Depository Participate

Cash and F & O Segment (Trading cum Clearing Member)

SEBI Registration No.: BSE (Cash) INZ000101832 **Date:** 28/2/2017 | NSE (Cash / F&O) INB/INF 231279838 **Date:** 18/2/2007

TM Code - NSE Currency 12798

CDSL-Depository Participant - SEBI Registration No.: IN-DP-CDSL-353-2017**Date:** 21/12/17 | **CDSL DP ID :** 12085000

For any grievance/dispute please contact Nidhi Broking Service Pvt. Ltd. at the above address or email id:

INSTRUCTION / CHECK LIST FOR FILLING KYC FORM

A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, the proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO card/OC/Card and overseas address proof is mandatory.
8. For Foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g. Heads of States or Governments, senior politicians, senior Government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity (POI): List of documents admissible as Proof of Identity:

1. PAN card with photograph. This is a mandatory requirement for all applicants except those who are specifically exempt from obtaining PAN (listed in Section D).
2. Unique Identification Number (UID) (Aadhaar) / Passport / Voter ID card / Driving license.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA): List of documents admissible as Proof of Address: (*Documents having an expiry date should be valid on the date of submission).

1. Passport/Voters Identity Card/Ration Card/Registered Lease or Sale Agreement of Residence /Driving License/Flat Maintenance bill/Insurance Copy.
2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
3. Bank Account Statement/Passbook -Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court Judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Schedule Commercial Banks/Schedule Co-Operative Bank/Multinational Foreign Bank/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. For FII/subaccount, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostilled or consularised) that gives the registered address should be taken.
8. the proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN (*Sufficient documentary evidence in support of such claims to be collected.

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Officials liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
4. SIP of Mutual Funds upto Rs. 50,000/- p.a.
5. In case of institutional clients, namely, FIs, Mfs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/Consulate General in the country where the client resides are permitted to attest the documents.

F. In case of Non-Individuals, additional documents to be obtained from non-individuals, over & above the POI & POA, as mentioned below:

Types of entity	Documentary requirements
Corporate	<ul style="list-style-type: none"> • Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Copy of latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary/Whole time director/MD (to be submitted every year). • Photographs, POI, POA, PAN and DIN numbers of whole time directors/two direction in charge of day to day operations. • Photographs, POI, POA, PAN of individual promoters holding control -either directly or indirectly. • Copies of the Memorandum and Articles of Association and certificate of incorporation. • Copy of the Board Resolution for investment in securities market. • Authorised signatories list with specimen signatures.
Partnership firm	<ul style="list-style-type: none"> • Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Certificate of registration (for registered partnership firm only). • Copy of partnership deed. • Authorised signatories list with specimen signatures. • Photographs, POI, POA, PAN of Partners.
Trust	<ul style="list-style-type: none"> • Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Certificate of registration (for registered trust only) • Copy of Trust deed. • List of trustees certified by managing trustees/CA. • Photograph, POI, POA, PAN of Trustees.
HUF	<ul style="list-style-type: none"> • PAN of HUF. • Deed of declaration of HUF / List of coparceners. • Bank pass-book/bank statement in the name of HUF. • Photograph, POI, POA, PAN of Karta.
Unincorporated association or a body of individuals	<ul style="list-style-type: none"> • Proof of Existence/Constitution document. • Resolution of the managing body & Power of Attorney granted to transact business on its behalf. • Authorized signatories list with specimen signatures.
Banks/Institutional Investor	<ul style="list-style-type: none"> • Copy of the constitution/registration or annual report/balance sheet for the last 2 financial years. • Authorized signatories list with specimen signatures.
Foreign Institutional Investors (FII)	<ul style="list-style-type: none"> • Copy of SEBI registration certificate. • Authorized signatories list with specimen signatures.
Army Government Bodies	<ul style="list-style-type: none"> • Self-certification on letterhead. • Authorized signatories list with specimen signatures.
Registered Society	<ul style="list-style-type: none"> • Copy of Registration Certificate under Societies Registration Act. • List of Managing Committee members. • Committee resolution for persons authorised to act as authorised signatories with specimen signatures. • True copy of Society Rules and Bye Laws certified by the Chairman/Secretary.

ANNEXURE

Details of Promoters / Partners / Karta / Trustees and whole time directors forming a part of know Your Client (KYC) Application Form for Non-Individuals

Name of Applicant _____ PAN of the Applicant

Sr. No.	PAN and Aadhaar	Name	DIN (For Directors)/ UID (For Others)	Residential Registered Address	Relationship with Applicant (i.e. promoters, whole time directors etc.)	Whether Politically Exposed	Photograph
						<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NO	
						<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NO	
						<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NO	

ANNEXURE

Details of Promoters / Partners / Karta / Trustees and whole time directors forming a part of know Your Client (KYC) Application Form for Non-Individuals

Name of Applicant _____ PAN of the Applicant

Sr. No.	PAN and Aadhaar	Name	DIN (For Directors)/ UID (For Others)	Residential Registered Address	Relationship with Applicant (i.e. promoters, whole time directors etc.)	Whether Politically Exposed	Photograph
						<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NO	
						<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NO	
						<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NO	

(This information is the sole property of the trading member / brokerage house and would not be disclosed to anyone unless required by law or except with the express permission of clients)

TRADING ACCOUNT RELATED DETAILS

A. BANK ACCOUNT(S) DETAILS

1. Bank Name

Branch Address

Bank A/c No. MICR Number

IFSC Number A/c Type Savings Current NRI NRE NRO

1. Bank Name

Branch Address

Bank A/c No. MICR Number

IFSC Number A/c Type Savings Current NRI NRE NRO

B. DEPOSITORY ACCOUNT(S) DETAILS

1. DP CDSL NSDL

Depository participant name

Beneficiary Name

DP ID No. Beneficiary ID (Default for Payout)

1. DP CDSL NSDL

Depository participant name

Beneficiary Name

DP ID No. Beneficiary ID (Default for Payout)

C. TRADING PREFERENCES

*Please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off by the client.

Exchanges						
NSE	Cash	<input type="checkbox"/> (3/19)		BSE	Cash	<input type="checkbox"/> (6/19)
	F&O	<input type="checkbox"/> (4/19)			(6/19)	
	Currency Derivative	<input type="checkbox"/> (5/19)				

If, in future, you want to trade on any new segment/new exchange, separate authorization/letter will be taken.

D. PAST ACTIONS

Details of any action/proceedings initiated/pending / taken by SEBI/ Stock exchange/any other authority against the applicant/constituent or its Partners/promoters/whole time directors/authorized persons in charge of dealing in securities during the last 3 years: No

If yes, please specify _____

OTHER DETAILS FOR 1ST HOLDER

1. Gross Annual Income Details Please tick (✓) Below 1 Lac 1-5 Lacs 5-10 Lacs 10-25 Lacs >25 Lacs

OR Net worth in ₹ (*Net worth should not be older than 1 year) _____ as on (date)

2. Name, PAN, DIN/UID, residential address and photographs of Promoters / Partners / Karta / Trustees / whole time directors
(Please use the Annexure to fill in the details)

3. Is the entity involved / providing any of the following services Yes No

- For Foreign Exchange / Money Changer Services Yes No -Money Lending / Pawning Yes No

- Gaming / Gambling / Lottery Services (e.g. casinos, betting syndicates) Yes No

4. Any other Information _____

OTHER DETAILS FOR 2ND HOLDER

1. Gross Annual Income Details Please tick (✓) Below 1 Lac 1-5 Lacs 5-10 Lacs 10-25 Lacs >25 Lacs

OR Net worth in ₹ (*Net worth should not be older than 1 year) _____ as on (date)

2. Name, PAN, DIN/UID, residential address and photographs of Promoters / Partners / Karta / Trustees / whole time directors
(Please use the Annexure to fill in the details)

3. Is the entity involved / providing any of the following services Yes No

- For Foreign Exchange / Money Changer Services Yes No -Money Lending / Pawning Yes No

- Gaming / Gambling / Lottery Services (e.g. casinos, betting syndicates) Yes No

4. Any other Information _____

OTHER DETAILS FOR 3RD HOLDER

1. Gross Annual Income Details Please tick (✓) Below 1 Lac 1-5 Lacs 5-10 Lacs 10-25 Lacs >25 Lacs

OR Net worth in ₹ (*Net worth should not be older than 1 year) _____ as on (date)

2. Name, PAN, DIN/UID, residential address and photographs of Promoters / Partners / Karta / Trustees / whole time directors
(Please use the Annexure to fill in the details)

3. Is the entity involved / providing any of the following services Yes No

- For Foreign Exchange / Money Changer Services Yes No -Money Lending / Pawning Yes No

- Gaming / Gambling / Lottery Services (e.g. casinos, betting syndicates) Yes No

4. Any other Information _____

DEMAT ACCOUNT RELATED ADDITIONAL DETAILS

DP Internal Reference No.

DP ID Client ID (To be filled the Depository Participant)

(To be filled by the applicant in **BLOCK LETTERS** in English)

I / We request your to open a Depository Account in my / our name as per the following details:

Holder Details

Sole / First Holder's Name		PAN <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Second Holder's Name		PAN <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Third Holder's Name		PAN <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

Name *

* In case of Firms, Association of persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is open in the name of natural persons the name of the Firm, Association of Persons (AOP), Partnership Firm unregistered trust etc., should be mentioned above.

TYPE OF ACCOUNT (Please tick whichever is applicable)

Status	Sub - Status
<input type="checkbox"/> Body Corporate <input type="checkbox"/> Banks <input type="checkbox"/> Trust <input type="checkbox"/> Mutual Fund <input type="checkbox"/> OCB <input type="checkbox"/> FII <input type="checkbox"/> CM <input type="checkbox"/> FI <input type="checkbox"/> Cleaning House <input type="checkbox"/> Others (Specify) _____	
SEBI Registration No. <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> (If Applicable)	SEBI Registration Date <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
RBI Registration No. <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> (If Applicable)	RBI Approval Date <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Nationality <input type="checkbox"/> Indian <input type="checkbox"/> Other (Specify) _____	

CLEARING MEMBERS DETAILS (To be filled by CMs only)

Name of Stock Exchange

Naem of the CC / CH

Trading Id

Clearing Member ID

STANDING INSTRUCTIONS

Account Statement requirement Daily Weekly Fortnightly Monthly As per SEBI Regulation

I / We instruct the DP to receive each and every credit in my / our account (If not marked, the default option would be 'Yes')	[Automatic Credit] <input type="checkbox"/> Yes <input type="checkbox"/> No
Do you wish to receive dividend / interest directly into your bank account. Given below through ECS? (If not marked, the default option would be 'Yes')	<input type="checkbox"/> Yes <input type="checkbox"/> No

I / We would like to instruct the DP to accept all the pledge instruction in my / our account without any other further instruction from my/our end
(If not marked like, the default option would be 'No') Yes No

I / We would like to share the email ID with the RTA Yes No

I / We would like to receive the Annual Report Physical / Electronic / Both Physical and Electronic Yes No

(Tick the applicable box. If not marked the default option would be Physical)

Easi: To register for easi, please visit website www.cdsindia.com. Easi allow a BO to view his ISIN balances, transactions & value of portfolio online.

Option for issuance of Delivery Instruction Slip (DIS) booklet along account opening (to be filled by persons seeking to open depository account who given Power of Attorney to operate the depository account to a Stock Broker / Participant/Portfolio Manger for executing delivery instruction for setting stock exchange trades and do not intend to open a Basic Services Demat Account)

Option of Issue of DIS booklet (please tick any one)

Option 1: I/We do not wish to receive the DIS booklet with account opening. However, the DIS booklet should be issued to me/us on my/our request at any later date.

Option 2: I/We wish to receive the Delivery Instruction Slip (DIS) booklet with account opening.

I / We have read the copy of Rights & Obligations and agree to abide by the Bye-Laws as are in force from time to time for such Accounts. I / We declare that the particulars given by me / us above are true to the best of my / our knowledge as on the date of making this application. I / We further agree that any false / misleading information given by me / us or suppression of any material information will render my / our account liable for termination and suitable action.

	Name of Holders	Signature(s) along with Seal
Sole / First Holders		(8/19) ✍
Second Holders		(1/4) ➡
Third Holders		(1/4) ↔

FOR OFFICE USE ONLY

UCC Code allotted to the Client

BO ID Allotted to the client

	Documents verified with Originals	Client Interviewed By	In-Person Verification done by
Name of the Employee			
Employee Code			
Designation of the employee			
Date			
Signature			

I / We undertake that we have made the client aware of 'Policy and Procedure', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedure', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.

For **Nidhi Broking Services Pvt. Ltd.**

Signature of the Authorised Signatory

Date: _____

Seal/Stamp of the stock broker

Place: _____

SCHEDULE OF CHARGES FOR TRADING ACCOUNT - NSE/BSE/MCX-SX

BROKERAGE DETAILS

	Cash Segment		F&O Future		Currency Future				Cash Segment		Remarks
	Min (Paise) A	Mix (%) B	Min (Paise)	Mix (%) B	Min (Paise)	Mix (%) B	% on Premium	Min Per Lot (Rs.)	% on Premium	Min Per Lot (Rs.)	
Trading 1st Leg							Nifty				
Trading 2nd Leg											
Delivery Brokerage							Other Options				

Note:

1. Please note that the brokerage levied to your trading account shall be the higher of brokerage value as per the existing rates or Rs. 30/- per settlement / segment, subject to the maximum rates prescribed by the regulator(s) from time to time.
2. Brokerage is normally levied on % basis of transaction value as above. However, where the rate of scrip / futures contract is below specified rate where specified rate is calculated as A / B, then Minimum Brokerage in paise would be levied on Quantity instead of % rate. i.e. If value based Brokerage is 0.25% with 5 Paise as Minimum brokerage then specified rate would be (5/0.25) which if Rs. 20/-. Hence 5 paise would be levied as brokerage on per share basis where share price is less than Rs. 20/-.
3. In case of intra day transaction in Equity segment / Stock Futures / Index futures / Currency Futures / F&O Options / Currency options first leg rates as above shall be charged on the buy value or sell value depending upon whichever is higher and second leg rates shall be charged vice versa.
4. In case of option transaction (F&O and Currency) higher of percentage on premium value or Minimum per lot as indicated above would be levied subject to Maximum of Rs. 500/- per lot.
5. If option rates (F&O) not specified then default rates i.e. Higher of 0.50% on premium or Rs. 50/- per lot for Nifty and Higher of 0.50% on premium or Rs. 100/- per lot for other options would be levied.
6. if option rates (Currency) not specified then default rates i.e. Higher of 0.50% on premium OR Rs. 15/- per lot would be levied.
7. Other charges:
 - a. Securities Transaction tax SEBI Turnover fees, Transaction charges shall be levied as per the prevailing rates*.
 - b. Statutory levied including but not limited to Service Tax, Stamp duty, Education Cess shall be levied as per the prevailing rates.
 - c. Nidhi reserves the right to levy additional charges including but not limited to the following:

Particulars	Amount
Duplicate Contact Notes (CN) issued	Rs. 10/- per CN**
Duplicate Souda Summary issued	Rs. 25/- for 1 month period**
	Rs. 50/- for 2 month period**
	Rs. 100/- for 3 month and above**
Bounced Cheque / Stop Payment of cheque	Rs. 50/- per instance/instrument**
Charges for applying for certain corporate benefits on behalf of clients for shares lying in Nidhi (E.g. Right issue, buy back etc.)	Rs. 11/- per ISIN
Nidhi Beneficiary to Nidhi Collateral A/c.	Rs. 15/- per ISIN**
Nidhi Collateral to Nidhi Ben A/c for Pay-in	Rs. 15/- per ISIN**
Nidhi Collateral to Nidhi Ben A/c (towards Pay -out)	Rs. 36/- per ISIN**
Nidhi Beneficiary to Exchange Pay-in A/c.	Rs. 11/- per ISIN**

*For prevailing rates please refer Back office interface - Login into Back Office>Utility Services>Client Summary Page. Home page

**Nidhi Broking Services Pvt. Ltd. reserve the tariff structure from time to time at its sole discretion, under intimation to client either by way of ordinary post or by an email or by notification on the back office interface.




d. KRA charges as may be applicable.

CHARGES FOR DEPOSITORY SERVICES

Sr. No.	Services	Schemes (Please Select)	
		<input type="checkbox"/> Lifetime AMC	<input type="checkbox"/> Investor
1.	One Time Upfront payment	2500+GST	1000+GST
2.	Annual Maintenance Charges	Free for Lifetime	1000+GST
Franking Charges		Rs. 500/-	
Debit transaction/Pledge creation & Pledge closure/ Debit transaction of client shares from Nidhi beneficiary and Nidhi collateral account		Rs. 20/- + GST & Rs. 50/- + GST for BSDA A/c per ISIN	
Dematerialization		Rs. 20/- + GST per DRF ,+ Postage Charges Rs. 30/- & Rs. 30/- per rejection	
Rematerialization		Actual CDSL Charges + Rs. 30/- per RRF request + Postage charges	
Additional DIS Request		Rs. 25.00 per booklet	
Additional Statement		Rs. 25.00 per request	

Note:

1. Stamp duty on the document to be executed shall be payable of the rates applicable form time to time.
2. For availing 'Easiest' facility of CDSL, The charges as levied by CDSL would be collected from clients at actuals.
3. CDSL levies Rematerialization charges as higher of the following;
 - i) A fee of Rs. 20/- for every 100 securities or part thereof;
 - OR**
 - ii) A flat fee of Rs. 20/- per certificate.
4. GST Education cess & other statutory levies (if any) would be charged extra wherever applicable as per the prevailing rates.
5. Any Services which is not mentioned above will be charged separately as per the rate applicable from time to time.
6. Nidhi Broking Services Pvt. Ltd. reserve the right to revise the tariff structure from time to time at its sole discretion, under intimation to clients either by way of ordinary post or by an email or by SMS or by notification on the back office interface.

	Name of Holders	Signature(s) along with Seal
Sole / First Holders		 (8/19)
Second Holders		 (1/4)
Third Holders		 (1/4)

DECLARATION BY HUF AND CONSENT LETTER

To,
Nidhi Broking Services Pvt. Ltd.

With regards to Beneficiary account no. (BO ID) _____ And Trading Account _____
Maintained in the name & style” _____ “with DP / Trading (Nidhi Broking Services Pvt. Ltd.)

We the following family members, being the co-parceners in the HUF account M/s. _____ do hereby give our consent that the said Karta, viz _____ would operate above mentioned BO ID/Trading account as far as shares transactions of the HUF account is concerned.

We further declare and authorize you to recognize the beneficiary account No. _____ with depository _____ opened in the name of the undersigned who is the Karta of the HUF for the purpose of completing the share obligations pursuant to the trading operations. I agree and understand that this is to facilitate the operation of the above trading account. the transfer made by you to the beneficiary account shall be complete discharge of obligations by you in respect of trades executed in the above trading account.

Details of our HUF and all its co-parceners are stated as mentioned below:

Sr.No.	Name of Family Member	Date of Birth (DD MM YYYY)	Gender	Relationship with Karta	Signature
1.					
2.					
3.					
4.					
5.					
6.					

I, hereby state that details mentioned above are true and any change in them would be intimated to your in writing.

Title of HUF / Karta _____

Signature of Karta

(HUF Rubber stamp)

This document contains the policies and procedures of Nidhi Broking Services Pvt. Ltd. (Nidhi) for Cash and F&O segment as are presently in force in relation to :

1. Refusal of orders for Penny/illiquid Stock
 2. Applicable Brokerage limit
 3. Setting up of exposure limits
 4. Delayed payment charges
 5. Squaring off of market positions, collaterals and other securities,
 6. Market and internal shortages,
 7. Refusal of Client requests for fresh positions
 8. Suspension of Client's account and deregistering of te Client.
1. **Penny / illiquid securities:** Nidhi shall from time to time classify and publish on its website a list of securities which are illiquid as per the list of illiquid securities notified on a periodic basis by the Stock Exchanges concerned and / or based on such internal criteria as Nidhi may deem fit. Nidhi reserves the right to refuse execution of any transaction requests of the Client in such illiquid securities or to reduce the open market interests of the Client in such securities. Nidhi also reserve the right not to allow any trades or transactions in respect of certain securities or segments or orders/requests which may be below / above certain value / quantity as may be decided bt Nidhi from time to time.
2. **Applicable Brokerage :**
 - 2.1 Nidhi shall levy brokerage for the Client's transaction as per the brokerage slabs mutually agreed with the Client in writing in the Client Registration From subject to the maximum rate prescribed b y the Stock Exchange / SEBI. the Client shall pay such fees, charges, and commissions as may be notified by Nidhi from time to time depending upon the services availed by the Client.
 - 2.2 Subject to such maximum prescribed rates, Nidhi may revise the rates from time to time after advance intimation to the Client of the revised rates and the date on and from which the revised rates shall take effect. However in case of any upward revision in brokerage, angle shall give 15 days advanced intimation to the client. Nidhi may intimate the revised rates in writing address to the Client and by publishing the rate on its back-office website. The Client shall intimate its objection(s) if any to the revised brokerage in writting within 15 (fifteen) days of receipt of intimation of the change in brokerage rates if he does not intimate any objection thereto within the time prescribed.
 - 2.3 Brokerage shall be paid in the manner intimated by Nidhi to the Client from time to time together with the service tax and statutory levies & duties as may be applicable from time to time on the same.
 - 2.4 Without prejudice to the absolute obligation of the Client to pay/reimburse monies to Nidhi as set-out above. Nidhi shall also be entitled to set-off and appropriate any monies that may be placed with or available with Nidhi for and/or on behalf of the Client towards any dues of the Client to Nidhi, arising howsoever.
 3. **Setting up of Exposure limits:**
 - 3.1 Nidhi shall be entitled to sanction trading limits to the Client based on the margin lying to the credit of the Client in the form of funds / securities / bank guarantees / fixed deposit receipts. Nidhi at its sole discretion may refuse to accept any security as collateral/margin. Nidhi shall from time to time publish a list of securities which would be acceptable as collateral/margin. In

setting exposure limits for the client, Nidhi shall be entitle to consider such factors as it may deem fit, including without limitation, the client's risk profile, risk appetite, loss bearing capacity, payment history, market volatility, risk management policy of Nidhi and such other factors or conditions which Nidhi may consider relevant for the purpose. Nidhi reserves liberty to vary the trading/exposure limits of the client depending upon its risk assessment from time to time having regard to the changes in any of the factors or market conditions bearing on the risk profile of the client.

- 3.2 Neither Nidhi, nor any affiliate of Nidhi nor their respective directors, officers, employees, agents shall in any circumstances be liable for any direct or indirect loss, cost liability, expense or damage (including without limitation all legal fees and expenses) arising from any variation or reduction of exposure or turnover limits by Nidhi.
4. **Delayed payment and consequences:**
 - 4.1 Notwithstanding anything contained in these presents and without prejudice to margin funding guidelines issued by SEBI, any amounts which are overdue from the Client in any trading segments shall be liable to delayed payment charges at the rate of 1.5% per month or part thereof or such other rate as may be determined and communicated by Nidhi. Nidhi is authorised to debit the delayed payment charges to the account of the Client at the end of each month/such other interval as may be decided by Nidhi.
 - 4.2 Without prejudice to Nidhi's other rights and to the extent permissible under Applicable Laws. Nidhi shall be entitled to liquidate / close out all or any of the Clients's positions for nonpayment of margin or other amounts, outstanding debts, etc, and adjust the proceeds of such liquidation/close out, if any, against the Client's liabilities / obligations. Any and all losses and financial charges on account of such liquidation / closing-out shall be charged to and borne by the Client.
 - 4.3 Nidhi shall be entitled to suspend or terminate the member client relationship without prior notice if the Client fails to fulfil his/its payment obligation hereunder, under the Rights and Obligations / Terms and Conditions mentioned in this document or otherwise due to Nidhi.
 - 4.4 Nidhi shall not be obliged to return any money, margin or otherwise to the Client until the Client has satisfactorily discharged all is payment obligations or other obligations as specified in Client Registration Document.
5. **Nidhi's right to square off:** Without prejudice to Nidhi's other rights (including the right to refer a matter to arbitration), in the event of the Client failing to maintain/supply applicable margin Client, Nidhi shall be entitled, at its option and liberty, to liquidate/close out all outstanding market, positions or any part thereof such that the outstanding market positions are either zeroed out or reduced to an extent where available margin covers the market positions remaining after such square off. The Client understand and accepts that authority of Nidhi to square off outstanding market interests of the Client in the event of the Client failing to furnish margin money immediately of demand is carte blanche qua the entire outstanding position and the Client shall not, as a matter of right, be entitled to reduction of the outstanding positions in stages in order that positions to the extent of available margin are retained in the Client's account. Nidhi may also sell off all or any securities of the Client lying with Nidhi as collateral or otherwise, for any amounts due by the Client and adjust the

proceeds of such liquidation/close out against the client's liabilities/obligations to Nidhi. Any and all losses and financial charges on account of such liquidation / closing -out shall be charged to and borne by the client. Client shall keep and hold Nidhi indemnified and harmless from any loss arising out of such closing out/squaring off. Such liquidation or close out of positions shall apply to any segment in which the Client does business with Nidhi.

6. Market and internal shortages : The Client hereby agrees that if he/she has short-delivered any securities against his/her /its pay-in obligation towards a counter party who is a Client of Nidhi and delivery of the securities was also not effected through auction in the market (self-auction) for any reason including that self- auction is not permitted on the Exchange, then the contract shall be closed out and the close out price will be higher of:

- (a) The highest price for the securities prevailing in NSE or as the case may be BSE on any date commencing from the date or transaction till the day of auction relevant to the trade (auction day) or
- (b) The closing price for the securities on the auction day as increased by 3% of the closing price for F & O traded scrip or 7% for other scrip or such other % as may be revised by Nidhi from time to time. The amount so determined shall be debited to the account of the Client who defaulted to deliver

7. Refusal of Client requests for traders/transactions:

7.1 Nidhi is entitled in its sole discretion to: Restrict or refuse execution of any orders for transaction in any scrip if transaction in such scrip is not in accordance with its internal due diligence policy and/or the directives and guidelines of the Exchanges and /or the Regular issued from time to time and the client shall not call in question any decision of Nidhi to restrict or refuse transactions in such scrip on the ground that transactions in such scrip are not in violation of Nidhi's due diligence policy or the directives or guidelines of Exchange and/or the Regular or on the ground that the Client has not been put on notice about the scrip on which trade restrictions have been imposed by Nidhi. Impose trade restrictions on any scrip having regard in particular to any one or more of the following factors viz. (i) Market volatility, or (ii) Restrictions on trade volume imposed by the Exchange concerned or (iv) Political instability in the country or (v) External aggression or internal rebellion or (vi) Default by the Client to maintain applicable collateral/margin or to make payment of dues or such other factors influencing the securities market.

7.2 Refuse to accept or act upon any request/order which in Nidhi's sole opinion, amounts to manipulating trades(s) or otherwise in breach of applicable laws and/or Nidhi's internal policies, without obligation to give the Client its reasons for doing so;

7.3 Close out any transaction which may have been executed but which Nidhi was entitled to refuse to execute being contrary to its internal due diligence policies or by reason of any other factors including but not limited to trades being manipulative in nature;

7.4 Disallow any trades or transactions in respect of certain securities or segments which may be below/above certain value/quantity as may be decided by Nidhi from time to time.

7.4 Nidhi may at its sole discretion decline to carry out the instructions for any reason whatsoever

I/we have read & understood the above mentioned policies and procedures.

8. Suspension and deregistering of Client's Account:

8.1 If the Client apprehends that security of his account has been breached, the Client shall by writing to Nidhi, request suspension of transactions in the Client's account and Nidhi may on receipt of such request suspend transactions in the account. The Client shall ensure pay in of funds and securities in respect of all transactions pending to be settled on or before the respective settlement date(s) and shall compulsorily square off all open derivative positions, failing which Nidhi without further reference to the Client shall square off all open derivative positions prior to suspending the account. The account of the Client shall, if suspended, remain so suspended until such time as the Client's request in writing for reactivation of the account is not received by Nidhi. Provided always that Nidhi may, without prejudice to its other securities of the Client lying with it in the Client's account towards full or part recovery of the dues owing by the Client without prior notice or consent of the Client.

8.2 Nidhi may at any time, as it considers necessary in its sole discretion and without prior notice to the Client, Prohibit, restrict or suspend the Client's access to or use of the Services provided to the Client under this document, whether in part or entirely.

8. Nidhi reserves the right to suspend and deregister the client without prior notice in the event of:

- a. Any breach of the terms of this document.
 - b. In the event of infraction of any Rules, Bye-Laws, Regulations of SEBI or the Stock Exchange or of the provisions of any law for the time being in force governing dealings in the securities market without prior notice or on the directions of SEBI and/or the Exchanges.
 - c. Upon the death, winding up, bankruptcy, liquidation or lack of legal capacity of the Client.
 - d. The Client being designated as a defaulter by any credit rating agency or any action or proceedings have been initiated by the relevant regulator/ Authority including without limitation SEBI.
 - e. Bank account, demat account, securities account of the client being frozen or attached by any court of law or any other competent authority for whatever reason.
 - f. The client having misrepresented facts at the time of registration or at the time of giving instructions or otherwise
 - g. Any proceedings or investigations that involve the Client or his/its properties having been initiated (or is/are ongoing).
 - h. Any proceedings or investigations that involve the Client or his/its properties having been initiated (or is/are ongoing).
 - i. If the Client migrates to a jurisdiction which prohibits trading in Indian Securities or otherwise subjects Nidhi or any of its employees to any licensing or registration requirements.
9. The prevailing policies and Procedures of Nidhi in respect of the above said matters shall remain published on its website as may be noticed to the Client from time to time and the Client agrees that it is Client's responsibility to access, understand and abide by such policies at all times during subsistence of this agreement.

I agree and understand that Nidhi may from time to time at its sole discretion amend or modify the policies and procedures under intimation to me/us.

(12/19)  **Authorised Signatory with company Seal**

ACKNOWLEDGEMENT

I/We hereby confirm and acknowledge the receipt of the following documents:

1. Rights and Obligations

Documents stating the Rights & Obligations of stock broker/trading member, sub-broker/Authorised Person and client for trading on exchange (including additional rights & obligations in case of internet/wireless technology based trading).

2. Risk Disclosure Document (RDD)

Document detailing risks associated with dealing in the securities market.

3. Guidance Note

Document detailing do's and don'ts for trading on exchange, for the education of the investors.

4. Rights and obligations of Beneficial Owners and Depository Participants:

Document stating the Rights & Obligations of Beneficial Owners and Depository Participants.

(13/19)  **Authorised Signatory (Attest with Seal)**

VOLUNTARY DOCUMENTS

Whereas the client intends to open securities trading accounts with Nidhi Broking Services Pvt. Ltd., (hereinafter referred as Nidhi) for the purpose of trading in Capital Market Segment, Futures & Options and Currency Derivative Segments of the National Stock Exchange of India Ltd. The Bombay Stock Exchange Ltd. And the MCX Stock Exchange Ltd. and Mutual Fund transactions Facilities offered by SEBI recognized Stock Exchange and whereas for the purpose of more fully and conveniently availing of the services agreed to be provided by Nidhi and also the additional services that may be made available by Nidhi from time to time, the Client, on its own free will and volition, agrees to accept and be bound by the following terms and conditions. The Client understands that these terms and conditions are voluntary i.e, non-mandatory in nature but on their acceptance, these shall constitute the contract between the parties and bind them fully and be enforceable by each party against the other.

1. **Authorization to debit additional charges with regard to Trading and Demat Account:** Without prejudice to the other rights obligations of the parties, the client understand and agrees that Nidhi may levy additional charges including Annual Maintenance Charges and all transaction charges with respect to Clients Demat account for any service rendered by Angel and as may be required by the Client, and recover from the Client all reasonable costs, as may be incidental or consequential for rendering the said services. The said charges will be debited to the clients ledger account with Nidhi Broking.
2. **Payment by Cheque :** Where payment by the client towards margin money is made through cheque / pay order / demand draft issued in favor of Nidhi, trades may be executed at the discretion of Nidhi only upon realization of the funds of the said cheque / pay order/ demand draft.
3. **Lien:** All securities, funds and / or properties of the Client as may be permitted by the Exchange(s) from time to time to be placed with Nidhi shall be subject to a lien for the payments or fulfillment of all undischarged liabilities and obligations of the Client in relation to its transactions or owing to any of the group companies of Nidhi. Nidhi shall be entitled to withhold such securities, funds and/ or property of the Client as security towards any such undischarged liabilities or obligation of the Client and to sell and / or appropriate to itself all such securities, funds or properties at its sole discretion and at any point of time.
4. **Authorization for inter segment fund balance transfer and stock transfers:** The client hereby authorizes Nidhi to transfer its debit/credit balances in the ledger account arising during the course of traders in any segment to its ledger account in any other segment or to transfer any stock purchase/lying its account in any segment to its account in any other segment as often as may be required. The transfers may be completed by passing journal entries in the books of Nidhi.
5. **Disclaimer:** The Client understands and agrees that neither Nidhi nor any other party disseminating any market data message or information through the Website of Nidhi or in any other media shall be liable for:
 - (a) Any inaccuracy, error, omission or delay in the transmission or delivery of any such data, information or message, or
 - (b) Any loss or damage arising from or occasioned by (i) Any such inaccuracy, error, delay or omission, (ii) Non-performance or (iii) Interruption in making available any such data, information or message, due to either any act or omission by Nidhi or any disseminating party or to any "force majeure" (e.g. flood, extraordinary weather condition, earthquake or other act of nature, fire war, insurrection riot, labour dispute/unrest, accident, action of government, communications or power failure, equipment or software malfunction) or any other cause beyond the reasonable control of Nidhi or any disseminating party.
6. **Manner and Mode of placing orders/instructions:** The Client may communicate orders and other instructions to Nidhi or the sub-broker/authorised person as the case may be over phone at the designated contact telephone number, or in writing, or through designated email, or by personally visiting the designated office, Client may use any one or more of these means as may be permitted by SEBI / Exchanges from time to time for placing orders.
7. **Non-execution/delay/cancellation of Orders:** The Client hereby agrees that Nidhi or the Exchanges shall not be liable for non execution or partial execution of any orders caused due to suspension, interruption, or malfunctioning of the online as well as offline trading services, disruptions or congestion of communication net works, hardware or software problems, or failure of the electronic trading system generally in any manner due to one or the other reasons beyond the control of Nidhi or the Exchange.
8. **Client not to act on representations of agents employees :** Client is aware that Nidhi has not authorized any agents, representatives, employees or other persons to make any representation, or to give any promise, assurance, warranty, undertaking or commitment as to return on investment of the Client whether in writing or otherwise on behalf of Nidhi.
9. **Recording of Conversation :** The client is aware and agrees that Nidhi may tape record the conversation between the client/client's representative and Nidhi, whether over the telephone or in person. Nidhi may produce before competent authorities, voluntarily or on such production being required thereof or both as valid evidence of the content of the conversation so recorded.
10. **Confidentiality of Client Details :** Nidhi may disclose the client information to any person / entity as required under the law or to any broker's Association or organisation in case of dispute in order to take informed decision. The client hereby agrees and gives its consents for the disclosure by Nidhi to any person or entity entities of Nidhi Group, whether within or outside India, of any information and data relating to Client or relating to Client's trading account with Nidhi for the purposes of or in connection with, any present or proposed initiatives, including but not limited to any marketing or cross sell initiatives, business proposals, activities, facilities or services availed of or to be availed, by Client in future.
11. **Disclosure as to Proprietary Trader by Nidhi :** Nidhi may carry out proprietary traders in addition to trades on behalf of its Clients
12. **Severance:** In case any one or more of the terms and conditions contained in this document become invalid, illegal or unenforceable in any respect under any applicable law, the validity, legality and enforceability of the remaining provisions contained herein shall not in any way be affected or impaired thereby.
13. **No Waiver:** No forbearance, relaxation or inaction by any party to require from the other performance or discharge of any obligation to be performed or discharged by the other under this document

shall in any way effect, diminish, or prejudice the right of such party to require of the other party at any time such performance or discharge, or performance or discharge of any other obligations under this document or be considered to be a waiver of any rights, unless the waiver is specifically agreed in writing.

14. Notices: All notices or communications issued by Nidhi shall be served on the Client in any one more all of the following ways at the ordinary business address and/or ordinary place of residence and/or last known address of the client:

14.1 (a) By ordinary post or (b) By registered post (c) Under Certificate of posting or (d) By express delivery post or (e) by SMS on registered mobile or by telephonic call or (f) By affixing it on the door at the last known business or residential address or (g) By oral communication to the party or on the last known telephone number or on the recording machine of such number or (h) By advertising it in at least one prominent daily newspaper having circulation in the area where the last known business or residential address of the client is situated log-id and password to Client is provided or (j) By a notice posted on the notice board of the Exchange if no address be known or (k) By electronic mail or fax or (l) By land delivery or By Courier or any other mode as may be allowed for communication.

14.2 Notwithstanding anything stated above, communication relating to orders, margins, maintenance call and other similar matters in the ordinary course of dealing between Nidhi and the Client may be made orally.

15. Electronic Contract Note (ECN):

15.1 Client agree to receive contract notes in Electronic/Digital Form (ECN) authenticated by means of a digital signature in lieu of Physical Contract notes through e-mail by authorizing Nidhi in this connection and providing the e-mail address(es) at which the Client wishes the ECN to be sent.

15.2 The Client shall access and verify the ECN and all information contained therein, In case of discrepancy the Client, shall inform Nidhi either in writing or via-E-mail within 7 working days of the receipt of the same. Nidhi shall also publish the Contract Note on the Web site www.nidhibroking.com or any other designated location specified by Nidhi from time to time. The Client will be issued a login and password by which the Client can login in to his account and view/save/print be ECN. Should the Client experience any difficulty in opening ECN, Nidhi may, on advice by the Client, make the Contract Note available by any other means (e-mail, electronic mail attachment, or in the form of an available download from the back office web site or by delivery of a hard copy). Client's failure to advice Nidhi of such a difficulty shall amount to valid delivery and viewing of the document by the Client.

16. Electronic Transmission of other Documents: The Client who have opted for ECN agrees that Nidhi may transmit to the Client any statements, documents or intimation including, but not limited to, Margin Statement, Statements of Funds and Securities, margin and maintenance calls & other notices/communications in electronic mode either at the e-mail ID designated for delivery of ECN or to the mobile number of the Client or both and, in case of non receipt of bounced mail/non delivery of SMS notification, Nidhi shall be deemed to have fulfilled his obligation to delivery to the Client such documents. Discrepancies if any in documents should be brought to the notice of Nidhi within seven working days from issuance failing which the documents shall be deemed to be true and correct record of transaction stated therein.

17. Electronic payment Gateway for Net Banking Services: Nidhi may provide on its internet trading website, additional cost to the Client, access to Electronic Payment Gateways provided by various banks for facilitating transfer of funds from Client's bank account to the account of the Client with Nidhi. Client understands that Nidhi is only providing access to the electronic fund transfer facility provided by the banker of the Client through Nidhi's Website by means of an interface and is not liable or responsible for the proper functioning or otherwise of the Gateway of for any transaction errors, losses, malfunctioning or hacking of the system by unscrupulous elements, frauds, and/or any incidental or consequential claims arising there out. Client undertakes not to make Nidhi a party to any litigation, claim, dispute, difference or complaint that the Client may initiate in respect of, arising out of or in connection with any transactions on the Gateway and agrees that Nidhi liability shall at all time be limited to the amount actually received in its account by electronic transfer from Client's account with the Bank.

18. Internet / Wireless Technology based Trading facility :

18.1 Nidhi offers Internet and mobile Trading facility for transaction in securities on the concerned Exchanges including facilities for online application of IPO / FPO / NFO / Bond issues or any other issues of securities or services to apply / purchase / redeem / sale / buyback or otherwise deal in the units of Mutual Funds (hereinafter referred to as "the Internet/wireless Trading system") through Exchanges approved software. the Client can route its orders to Nidhi over the internet / movile / laptop with data card or any other devices which use internet protocol for purchasing, selling and dealing in securities. The Client may avail of such Trading facility provided by Nidhi by complying with the formalities prescribed therefor.

18.2 Non-usages of Internet/Wireless trading Facility: If the Client does not use the Internet/Wireless Trading Facility for a continuous period of 3 months or such other period as Nidhi may notify, the facility may be deactivated without notice and the Client shall comply with the prescribed formalities for reactivating the facility. traders can, however, be executed at all time by placing orders off-line with the concerned branch of Nidhi.

18.3 The client understands and agrees that Nidhi has different product of the Internet Trading/Wireless Trading software which have been approved by the Exchanges and the client shall be allotted such product as may be chosen by him. The client also understands and agrees that depending on the trading activity of the client, Nidhi shall have the exclusive right and liberty to change the product version allotted to the client and allot a different products version of the Internet Trading/Wireless Trading facility.

18.4 Orders of Client subject to review by Nidhi: The Client agrees that the Nidhi may, on beings suspicious of any of the transactions, review any order placed by a client; which may caouse delays in the processing of the Client's order or may result in rejection of such order."

19. Extra Ordinary Events and termination/suspension of trading facility: Nidhi will not ne liable for losses caused directly or indirectly by government restriction, Exchange rulings, suspension of trading, computer, communciation, telephone or systems failure, war, earthquakes, flood, accident, power failure, equipment or software malfunction, lack of connectivity, congestion or disruption, low processing speed, strikes or any

other conditions beyond Nidhi's control resulting in non-execution, partial or incomplete execution of order and the resulting financial loss, if any Nidhi may at any time terminate, discontinue or temporarily suspend trading facility provided to the Client in the event or any such extraordinary event occurring without giving prior notice to the Client.

20. Amendments to the terms and conditions: Nidhi reserve the right to amend the terms and conditions herein contained by adding, deleting, modifying or varying the provisions thereof by giving 15 days notice to the Client. In the event where the client has not objected to revised terms and conditions within 15 days receiving the notification, the same shall be binding on the client.

I/We hereby confirm to have read and understood the terms and conditions as mentioned above and agree to abide by the same.

For Nidhi Broking Services Pvt. Ltd.

Authorised Signatory: _____

(14/19)  **Authorised Signatory with company Seal**

RUNNING ACCOUNT AUTHORISATION (VOLUNTARY)

To,
Nidhi Broking Services Pvt. Ltd.
16/17, 2nd Floor, Shreeji Arcade,
Opp. Nitin Co., Almeda Road,
Thane (W), -400602. India.

Dear Sir,

Ref.: Authorization to maintain running accounts for transactions and retention of securities and funds for my client code _____

I request you to authorize to settle my account on a monthly / quarterly basis. (Strike off whichever is not applicable).

I hereby authorize you to maintain running account(s) for my transactions executed through Nidhi Broking on any of the stock exchanges/segment for both securities and funds with you. I also request you to consider the balances in my/our running fund/securities account with you for the purpose of margins /all trade related liabilities due to you. I further authorised you to retain securities and funds as may be permitted by Stock Exchanges / SEBI from time to time while setting my account. I also authorize Nidhi to consider the funds/securities so retained by Nidhi towards collateral margin and for allowing enhanced gross exposure to me. I agree that Nidhi shall not be liable for any claim for loss or loss of profit, or any consequential, incidental, special or exemplary damages, or otherwise, caused by retention of such securities/funds.

Additionally the funds and securities due to me may be released in the interim, on my specific request. I understand and agree that no interest will be payable to me on the amount of securities and funds as retained with you. the authorization may be revoked by me at time by giving prior written intimation to Nidhi Broking.

Client Name: _____

(15/19)  **Authorised Signatory with company Seal**

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POWER OF ATTORNEY (VOLUNTARY)

(To be executed on stamp paper of Rs. 50/-)

TO ALL TO WHOM THESE PRESENT SHALL COME We M/s _____, a sole proprietary concern with Proprietor Mr./Ms. _____ and having place of business and or residing at _____

(hereinafter referred to as "the client"), send greetings; (or) M/s. _____, a HUF with _____ as its Karta and having its office at _____

_____ (hereinafter referred to as "the client") send greetings: (or) M/s. _____, a partnership firm with Mr./Mrs./Ms. _____: Mr./Mrs./Ms. _____ and Mr./Mrs./Ms. _____ as its partners (names of all partners to be given) having its office at _____

(hereinafter referred to as "the client") send greetings; (or) M/s _____, a public/private limited company incorporated under the Companies Act, 1956 and having its registered office at _____ an

d corporate office at _____ (hereinafter referred to as "the client") send greetings

Whereas I/we hold a Beneficiary account no. _____ (BO-ID) with Central Depository Services (India) Limited, with Nidhi Broking Services Pvt. Ltd. (a Depository Participant registered with CDSL) bearing DP-ID _____,

An Whereas I/we are registered as a cleint with Nidhi Broking Services Pvt. Ltd. (trading member of Bombay Stock Exchange Ltd., MCX Stock Exchange Ltd. and National Stock Exchange of India Ltd) for dealing in the securities market.

NOW KNOW WE ALL AND THESE PRESENTS WITNESS TO THAT I/We THE ABOVE NAMED DO HEREBY NOMINATE, CONSTITUTE/AND APPOINT M/s. Nidhi Broking Services Pvt. Ltd. as my/our true and lawful attorney (hereinafter referred to as the attorney) for me/us and on my/our behalf and in my/our name to do the following:

1. To debit my/our aforesaid beneficiary account and to transfer securities there-out for the purpose of delivery / pledging the same to the clearing house of the recognized stock exchange in any segment to discharge my/our settlement obligation in respect of securities sold by me/us for the purpose of providing margins in respect of the trading positions taken up by me/us.
2. To apply for and subscribe to, on my/our instructions, Initial Public offering made by any company registered under the provisions of the Companies Act, 1956 through online/offline bidding platform and to perform do, undertake, discharge all incidental and ancillary acts, deeds, matters, things, functions and obligation in connection therewith.
3. To apply, on my/our instructions, for Mutual Funds of various asset management companies through online / offline platform and to perform, do, undertake, discharge all incidental and ancillary acts, deeds, matters, things, functions and obligations in connection therewith.
4. I/We authorize my/our said Attorney to send me/us consolidated summary of scrip wise buy and sell positions, subscriptions to IPOs and Mutual Funds by way of short messaging services or e-mails on a daily basis.
5. The said Attorney shall return me/us the Securities that may have been received by it erroneously or that it was not entitled to receive.
6. I/we do here ratify and confirm and agree to ratify and confirm whatsoever my/our said Attorney shall have lawfully done or may lawfully do or cause to be done by virtue of or in exercise of any power hereby granted, given authorised or implied or intended to be so granted, given or authorised and also all lawful acts, deeds, matters and things done by the said attorney of the nature mentioned above or incidental or relating thereto or arising there-from or deemed by my/our Attorney to be requisite or expendent to be done or performed in exercise of any power herein.
7. I/we further agree and confirm that the powers and authorities conferred by this Power of Attorney shall continue to be good, valid and effective until revoked by me/us in writing given to my Attorney and that the Power of Attorney shall not be affected by lapse of time. This Power of Attorney shall continue in full force and effect until my/our Attorney shall receive written notice of revocation thereof, signed by me/us; ir, in the event of termination thereof by me/our death, until my/our Attorney shall have received actual notice thereof, and such revocation or termination shall in no way affect the validity of this Power of Attorney with reference to any transactions initiated by me/our Attorney, prior to the actual receipt by the Attorney of the notice of such revocation or termination, as above provided.. Further, without prejudice to the generality of the aforesaid, such revocation of this Power of Attorney, in so far as any transaction, settlement of which is pending on the date of receipt of notice of revocation by me/our Attorney is concerned, shall become effective only after all pending obligations in respect of such transaction are settled on the respective settlement dates and all dues owing by me/us in connection therewith have been fully paid by me/us to the Stock Broke. Further, such revocation of the power and authority given to me/our Attorney hereby shall in no way effect the of any acts, deeds or things done or action taken by my/our Attorney for discharging any of my/our settlement obligation in respect of any transactions settlement of which is pending on the date of receipt of the notice of revocation by the Attorney.
8. As per SEBI Circular Dated 23rd August 2010, the list of demat A/C's where securities can movie is listed as per schedule 1

DECLARATION ON MOBILE NUMBER / EMAIL ID (VOLUNTARY)

To,
Nidhi Broking Services Pvt. Ltd.
16/17, 2nd Floor, Shreeji Arcade,
Opp. Nitin Co., Almeda Road,
Thane (W), -400602. India.

Subject: Mobile Number and Email ID Updation

Dear Sir / Madam,

With reference to Exchange circulars to update mobile number / email ID before trading in Stock Market, I/we hereby declare that.

- I/We do not have Mobile number
- I/We do not have Email Id
- I/We have Mobile Number / Email ID however does not wish to update and avail the facility.

Client Code

Client Name: _____

(18/19)  **Authorised Signatory with company Seal**

MUTUAL FUND SERVICE SYSTEM FACILITY / BSE STAR MF (VOLUNTARY)

To,
Nidhi Broking Services Pvt. Ltd.
16/17, 2nd Floor, Shreeji Arcade,
Opp. Nitin Co., Almeda Road,
Thane (W), -400602. India.

sub: Client /Investor consent on terms and conditions for dealing in Mutual Fund Service Systems (MFSS) facility / BSE STAR MF or mutual fund facility offered by SEBI recognized Stock Exchange from time to time (hereinafter jointly referred to “Mutual Fund Transaction Facility”)

Dear Sir,

I/We am/are registered as your client and have executed Known Form and certain other documents for the purpose of trading in securities market on the recognized Stock Exchange (herein after referred as “Exchange”)

I/We am/are interested in availing the trading facility of the Exchange for the purpose of dealing in the units of Mutual Funds Schemes permitted to be dealt with on the SEBI recognized Stock Exchanges.

For the purpose of availing Mutual Fund Transaction Facilities, I/we state that know Your Client details as submitted by me/us for the stock broking may be considered for the purpose of Mutual fund transaction facilities and I/We further confirm that the details contained in the same remain unchanged as on date.

I/We am/are willing to abide by the terms and conditions as mentioned in the circulars as may be specified by the Exchanges from time to time in this regards.

I/We shall also ensure compliance with the requirements as may be specified from time to time by Securities and Exchange Board of India and Association of Mutual Funds of India (AMFI).

I/We shall read and understand the contents of the Scheme Information Document and Key Information Memorandum, addendum issued regarding to which I/We choose to subscribe/redeem. I/We further agree to abide by the terms and conditions, rules and regulations of the Mutual Fund Schemes.

I/We confirm to have read & understood the terms & conditions for using Mutual Funds transaction facility as stated in KYC handout (customer copy). I/We therefore request you to register me/us as your client for participating in Mutual Fund Transaction Facilities.

I have read and understood the above and I agree to abide by the same.

(19/19)  **Authorised Signatory with company Seal**